



UPSTATE CONTINUUM OF CARE: COC PROGRAM COMPETITION THRESHOLD REQUIREMENTS

HUD Threshold Requirements:

The following Threshold Requirements are reflective of standards from the U.S. Department of Housing and Urban Development (HUD) on applicant eligibility and quality requirements that must be met in order for an applicant in the CoC Program Competition to be reviewed, rated, and ranked.

1. Applicant has Active SAM registration with current information and maintains an active SAM registration annually.
2. Applicant has a valid UEI (Unique Entity Identifier) Number.
3. Applicant and potential subrecipient(s) meet the eligibility requirements of the CoC Program as described in the HEARTH Act and the CoC Program Interim Rule (24 CFR Part 578.15) and can provide evidence of eligibility required in the application.
4. The population proposed to be served meets program eligibility requirements as described in the HEARTH Act, the CoC Program Interim Rule (24 CFR Part 578.37), and the current year's NOFO.
5. Applicant will submit the required certifications specified in the current year's NOFO, including the Certification of a Drug Free Workplace (HUD-50070), the Certification Regarding Lobbying, Disclosure of Lobbying Activities, and the Assurances for Non-Construction/Assurances for Construction (SF-424B).
6. Applicant agrees to participate in the local HMIS system. If applicant is a victim service provider: in accordance with Section 407 of the HEARTH Act, any victim service provider that is a recipient or subrecipient will not disclose any personally identifying information about any client for the purposes of HMIS. Victim service providers will participate in a secured, comparable database that captures required HMIS data.
7. Applicant and potential subrecipient(s) demonstrate the financial and management capacity and experience to carry out the project as detailed in the project application and the capacity to administer federal funds.
8. HUD will not award or disburse funds to applicants that do not have a financial management system that meets federal standards as described at 2 CFR 200.302. HUD may arrange for a survey of financial management systems for applicants selected for award who have not previously received federal financial assistance, where HUD Program officials have reason to question whether a financial management system meets Federal standards, or for applicants considered high risk based on past performance or financial management findings.
9. Applicant has no outstanding delinquent federal debts, as outlined in 31 U.S.C. 3720B and 28 U.S.C. 3201(e). An applicant with outstanding delinquent federal debt will not be eligible to receive an award of funds unless:

- a. A negotiated repayment schedule is established and the repayment schedule is not delinquent, or
 - b. Other arrangements satisfactory to HUD are made before the award of funds by HUD.
10. Applicant has no debarments and/or suspensions (2 CFR Part 2424) and is not proposed to be debarred or suspended from doing business with the federal government.
11. Pre-selection Review of Performance - If your organization has delinquent federal debt or is excluded from doing business with the federal government, you may be ineligible for an award. In addition, before making a federal award, HUD reviews information available through any OMB-designated repositories of government-wide eligibility qualification or financial integrity information, such as Federal Awardee Performance and Integrity Information System (FAPIS), and the "Do Not Pay" website. HUD reserves the right to:
 - a. Deny funding, or with a renewal or continuing award, consider suspension or termination of an award immediately for cause;
 - b. Require the removal of any key individual from association with management or implementation of the award; and
 - c. Make provisions or revisions regarding the method of payment or financial reporting requirements.
12. Applicant will disclose in writing to the awarding program office at HUD, all violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award within ten days after learning of the violation. Recipients that have received a Federal award including the term and condition outlined in Appendix XII to 2 CFR part 200—"Award Term and Condition for Recipient Integrity and Performance Matters" are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies described in Part 200.338 Remedies for noncompliance, including suspension or debarment. (See also 2 CFR part 180, 31 U.S.C. 3321, and S.C. 2313.)
13. A false statement in an application is grounds for denial or termination of an award and may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment. Recipient or applicant confirms all statements are truthful.
14. Applicant is subject to the provisions of Section 319 of Public Law 101-121, 31 U.S.C. 1352, (the Byrd Amendment), and 24 CFR part 87, which prohibit recipients of federal awards from using appropriated funds for lobbying the executive or legislative branches of the federal government in connection with a federal award. All applicants submit with their application the signed Certification Regarding Lobbying. In addition, applicants disclose, using Standard Form LLL (SFLLL), "Disclosure of Lobbying Activities," any funds, other than federally appropriated funds, that will be or have been used to influence federal employees, members of Congress, or congressional staff regarding specific awards. Applicants submit the SFLLL if they have used or intend to use non-federal funds for lobbying activities.
15. Continuum of Care will ensure equal participation of faith-based organizations, as outlined in 24 CFR 5.109. These regulations apply to all HUD programs and activities, including all of HUD's Native American Programs, except as may be otherwise provided in the respective program regulations, or unless inconsistent with the respective program authorizing statute.
16. Outstanding civil rights matters must be resolved before the application submission deadline. Project applicants, who after review are confirmed to have civil rights matters unresolved at the application submission deadline, will be deemed ineligible. Their applications will receive no further review, will not be rated and ranked, and will not receive funding.

CoC Threshold Requirements:

The following Threshold Requirements are local standards established by the Upstate Continuum of Care. These additional standards help ensure that applicants are prepared to manage a CoC Program Competition grant and are meeting participation expectations from the Continuum.

1. Renewal applicants must be fully participating in the CoC's Coordinated Entry System. New applicants must agree to participate upon grant award.
2. Project adheres to Housing First Principles and/or Low Barrier Implementation.
3. Applicant can provide evidence of documented, secure minimum match.
4. Project is financially feasible, as determined by the Grants Committee. Determination is based on the materials provided in the applicant's Letter of Intent, including projected number of households to be served, type and length of supportive services, current agency budget, and sustainability plans if CoC funding is diminished.
5. Applicant has attended at least 75% (9 out of 12) of CoC Advisory Council meetings and respective Chapter meetings within the past year.
6. Application is complete and data are consistent.
7. Renewal applicants must have a current data quality score of 96% or greater in HMIS. New applicants must agree to HMIS Policies and Procedures and demonstrate ability to adhere to data quality standards.
8. Applicant can demonstrate that project is consistent with jurisdictional consolidated plans. The CoC will be required to submit a Certification of Consistency with the Consolidated Plan at the time of application submission to HUD.
9. Applicant can provide a roster of current agency Board of Directors, including at least one member with lived experience of homelessness.